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PTO/SSS2 (12-00)

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Application Number	09/887,272
Filing Date	June 23, 2001
First Named Inventor	Katagiri et al.
Group Art Unit	1638
Examiner Name	
Attorney Docket Number	50009USNP

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Gregory W. Warren

Signature

Date

August 1, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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PTO/SB/81 (02-01)

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Application Number	09/887,272
Filing Date	June 23, 2001
First Named Inventor	Katagiri et al.
Title	Plant Genes et al.
Group Art Unit	1638
Examiner Name	
Attorney Docket Number	50009USNP

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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Gregory W. Warren

Signature

Gregory W. Warren

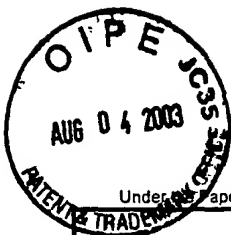
Date

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Katagiri, Hou, Quan, Chang, Zhn, Whithman, Goff, et al.
Application No./Patent No.: 09/887,272 Filed/Issue Date: June 23, 2001
Entitled: Plant Genes Involved In Defense Against Pathogens
Syngenta Participations AG, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012646 Frame 0626, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

August 1, 2003

Date

Gregory W. Warren on behalf of Syngenta

Typed or printed name

Gregory W. Warren Participations AG

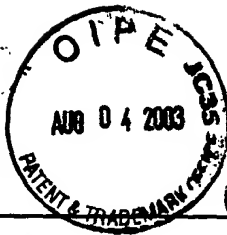
Signature

Patent Agent (Reg. No. 48,385)

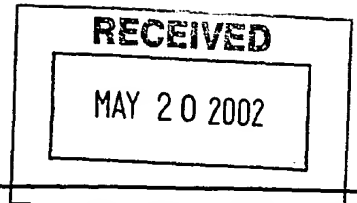
Title



UNITED STATES
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01360.003 US2



MAY 02, 2002

PTAS
SCHWEGMAN, LUNDBERG, WOESSNER ET AL
JANET E. EMBRETSON
P.O. BOX 2938
MINNEAPOLIS, MN 55402

Commissioner for Trademarks
Arlington, VA 22202-3513
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NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KATAGIRI, FUMIAKI

DOC DATE: 02/04/2002

ASSIGNOR:

HOU, YU-MING

DOC DATE: 01/28/2002

ASSIGNOR:

QUAN, SHENG

DOC DATE: 01/28/2002

ASSIGNOR:

CHANG, HUR-SONG

DOC DATE: 01/28/2002

ASSIGNOR:

ZHU, TONG

DOC DATE: 01/28/2002

ASSIGNOR:

WHITHAM, STEVE

DOC DATE: 01/18/2002

ASSIGNOR:

GOFF, STEVE

DOC DATE: 02/04/2002

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Woessner & Kluth, P.A.

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ASSIGNOR:
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ASSIGNOR:
TAO, YI

DOC DATE: 01/31/2002

ASSIGNOR:
ZOU, GUANGZHOU

DOC DATE: 02/04/2002

ASSIGNEE:
SYNGENTA PARTICIPATIONS AG
SCHWARZWALDALEE 215
CH-4058
BASEL, SWITZERLAND

SERIAL NUMBER: 09887272
PATENT NUMBER:

FILING DATE: 06/22/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fumiaki Katagiri et al.

Title: PLANT GENES INVOLVED IN DEFENSE AGAINST PATHOGENS

Docket No.: 1360.003US2

Serial No.: 09/887,272

Filed: June 23, 2001

Due Date: N/A

Examiner:

Group Art Unit: 1638

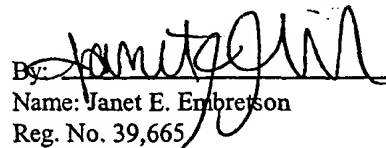
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Hon. Commissioner for Patents and Trademarks
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We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Syngenta Participations AG (8 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ A return postcard.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Janet E. Embreson
Reg. No. 39,665
JEE:CMG:hst

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Name

Helkei Tinsley
Signature

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Atty Ref/Docket No.: 1360.003US2



102011025

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hereof.

1. Name of conveying party(ies):

Fumiaki Katagiri, Yu-Ming Hou, Sheng Quan,
Hur-Song Chang, Tong Zhu, Steve Whitham,
Steve Goff, Bret Cooper, Jane Glazebrook,
Wenqiong Chen, Zhiyi Xie, Yi Tao and Guangzhou Zou
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Syngenta Participations AG

Street Address: Schwarzwaldallee 215
CH-4058

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Basel Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: January 28, 2002, January 28, 2002, January 28, 2002,
January 18, 2002, February 4, 2002, January 31, 2002, February 1, 2002,
January 28, 2002, February 4, 2002, January 28, 2002, January 18, 2002,
January 31, 2002, February 4, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/887,272, filed June 23, 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Janet E. Embretson

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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Name of Person Signing

Signature

Date

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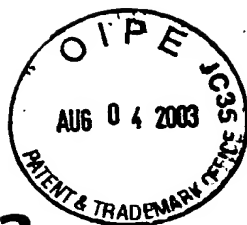
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SYNGENTA PARTICIPATIONS AG, CH-4058 Basel, Schweiz,

represented by **FLATTERY Marian**, from United States of America, in Basel and
SMOLDERS Walter, from Belgium, in Ettingen

The following people are entitled to sign legally binding by the joint signatures of two for all Patent- and Trademark matters in the name of Syngenta Participations AG:

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AMATO Antonino
ANDREWS Christopher Dr.
BASTIAN Werner Dr.
BIDDLE Jennifer
BIONDINI Flavio
BLIND Valérie
BOWDICH Julie Anne
BUYNITZKY Oksana
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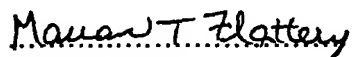


Legally binding joint signatures of two for
SYNGENTA PARTICIPATIONS AG

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MORTON Marcia Dr.
NEWTON Kelly
ONEIL Michelle
OSBORN Martin Dr.
PALMER Sarah Dr.
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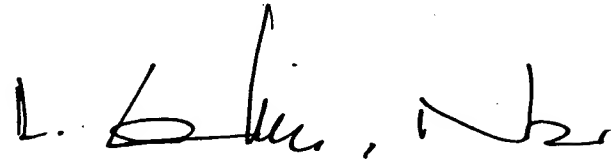
Marian Flattery
Head of Global Intellectual Property



Walter Smolders
Head of Global IP-Seeds

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Dr. C. Haab
Advokat & Notar

Leg. Proc. 1383 13

